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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/615,117
		Filing Date	7/7/2003
		First Named Inventor	Barin G. Haskell, et al.
		Group Art Unit	Shawn S. An
		Examiner Name	2613
Total Number of Pages in This Submission	*	Attorney Docket Number	APLE.P0035 (P3088US1)

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PATENT
Docket No. APLE.P0035 (P3088US1)

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Mari Adeli

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Barin G. Haskell, et al.

Serial No.: 10/615,117

Filing Date: 7/7/2003

For: METHOD AND APPARATUS FOR
CONTROL OF RATE-DISTORTION
TRADEOFF BY MODE SELECTION IN
VIDEO ENCODERS

Examiner: Shawn S. An

Group Art Unit: 2613

**INFORMATION DISCLOSURE
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This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

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However, in the unlikely event that the Patent Office determines that additional fees, extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 50-1128 referencing APLE.P0035. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: August 9, 2004

Respectfully submitted,

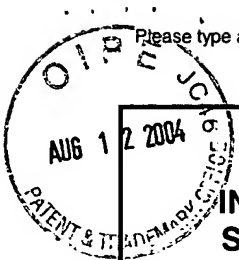
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/615,117
				Filing Date	7/7/03
				First Named Inventor	Barin G. Haskell, et al.
				Group Art Unit	Shawn S. An
				Examiner Name	2613
Sheet	1	of	1	Attorney Docket Number	APLE.P0035 (P3088US1)

NON PATENT LITERATURE DOCUMENTS			
Examiner* Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	1.	ANTONIO ORTEGA AND KANNAN RAMCHANDRAN, "Rate-distortion methods for image and video compression," IEEE Signal Processing Magazine, pp. 23-50, Nov. 1998.	
	2.	GARY J. SULLIVAN AND THOMAS WIEGNAD, "Rate-distortion optimization for video compression," IEEE Signal Processing Magazine, vol. 15, no. 6, pp. 74-90, Nov. 1998.	

Examiner Signature		Date Considered	
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number(optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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